

Metrics for Evaluating Flicker

Andrew Bierman, MS

Lighting Research Center, Rensselaer Polytechnic Institute

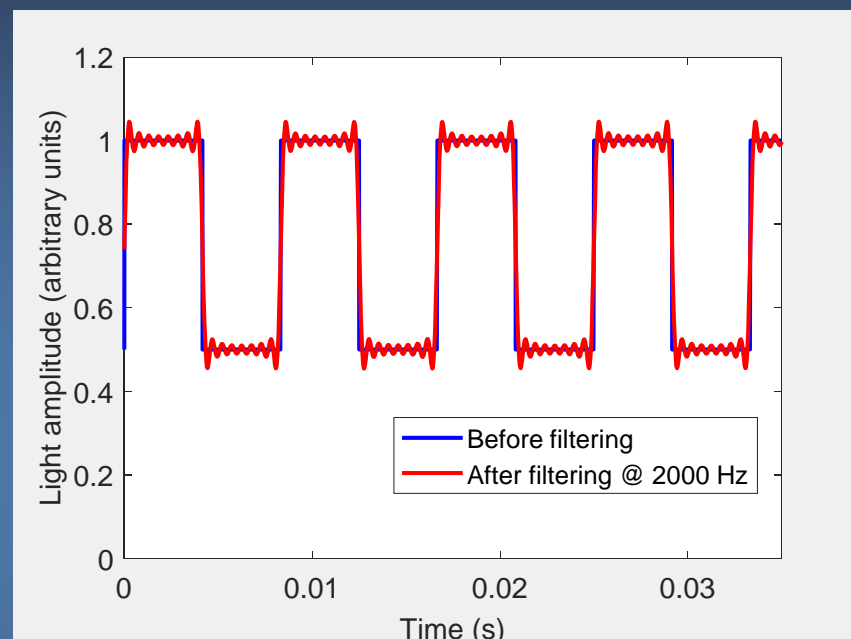
ENERGY STAR[®] Flicker Testing Tutorial

September 22, 2017

Percent Flicker

$$\text{Flicker Percent} = \frac{\text{Max} - \text{Min}}{\text{Max} + \text{Min}} 100$$

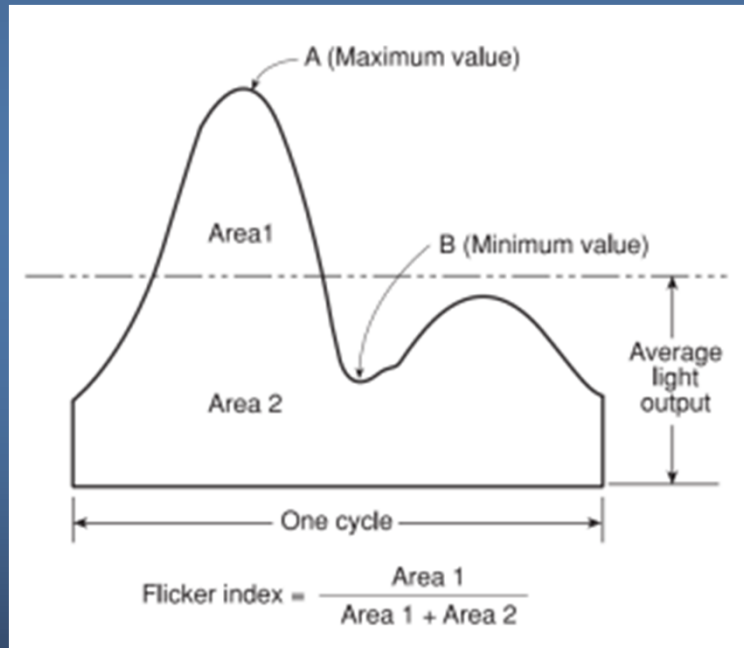
- ◆ Percent flicker sensitive to extreme points
- ◆ Careful when filtering
 - > JA10 Standard
- ◆ Noise will add to percent flicker
- ◆ Applicable to any length waveform, periodic or not



	Percent flicker
Before filtering	33.3%
After filtering:	40.1%

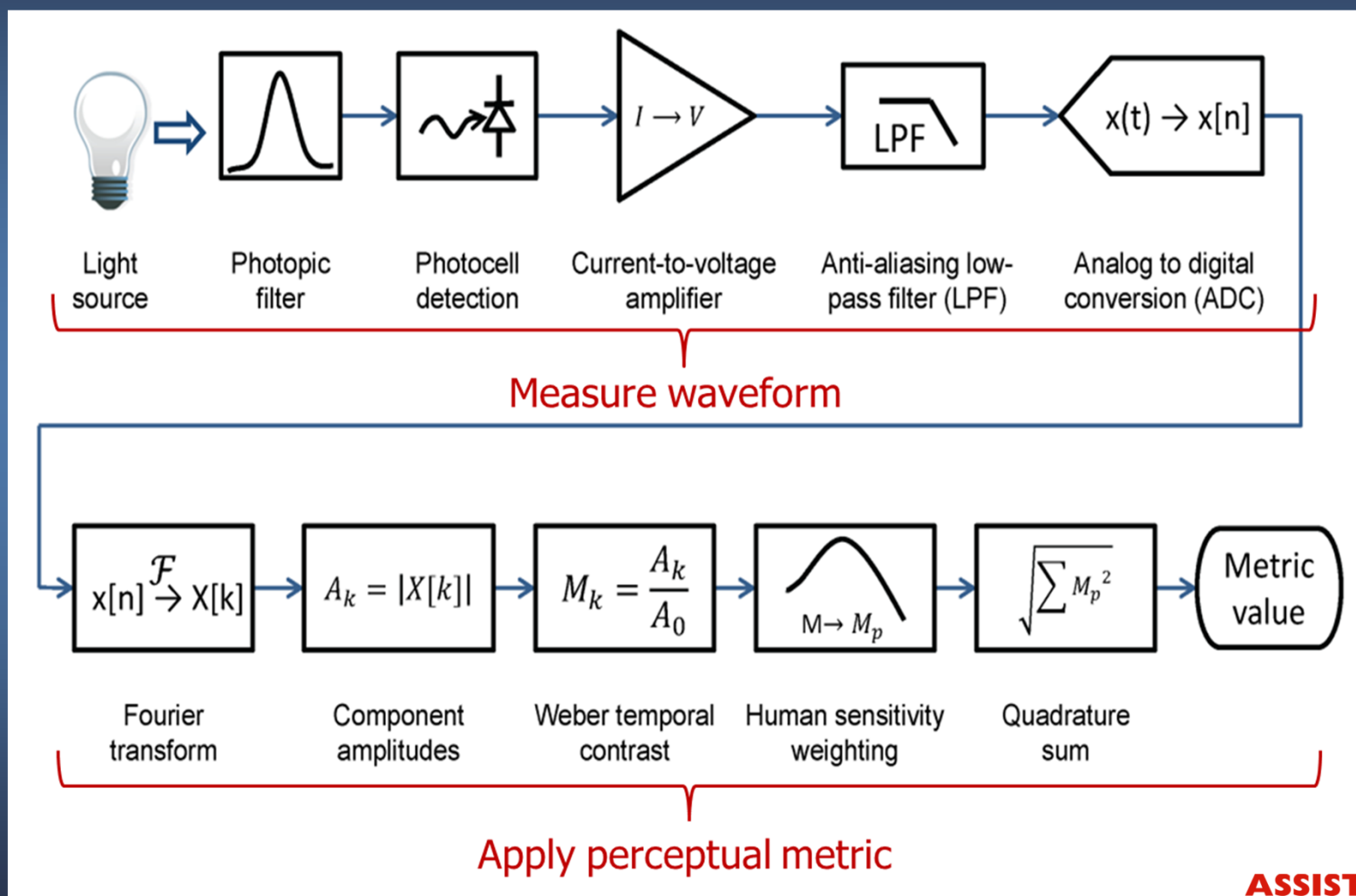
Flicker Index

$$\text{Flicker Index} = \frac{\text{Area 1}}{\text{Area 1} + \text{Area 2}}$$



- ◆ Insensitive to noisy waveforms
- ◆ Developed for line frequency operated fluorescent lamps (50/60 Hz)
- ◆ Can be applied to any length waveform, periodic or not, but interpretation is dubious for fundamental frequencies other than 60 Hz
- ◆ If periodic waveform length should be integer number of periods

M_p for Direct Flicker



M_p for Direct Flicker

- ◆ Collect light waveform

- › X_n = sampled waveform, Sampling frequency > 1000 Hz, > 0.2% amplitude resolution

- ◆ Fourier transform

- › $X_k = \sum_{n=0}^{N-1} x_n e^{-\frac{i2\pi kn}{N}}$, $A_k = \frac{\sqrt{\text{Re}(X_k)^2 + \text{Im}(X_k)^2}}{N}$, $k = 1, 2, 3, \dots$

- ◆ Divide by dc (Weber contrast)

- › $M_k = \frac{A_k}{A_0}$

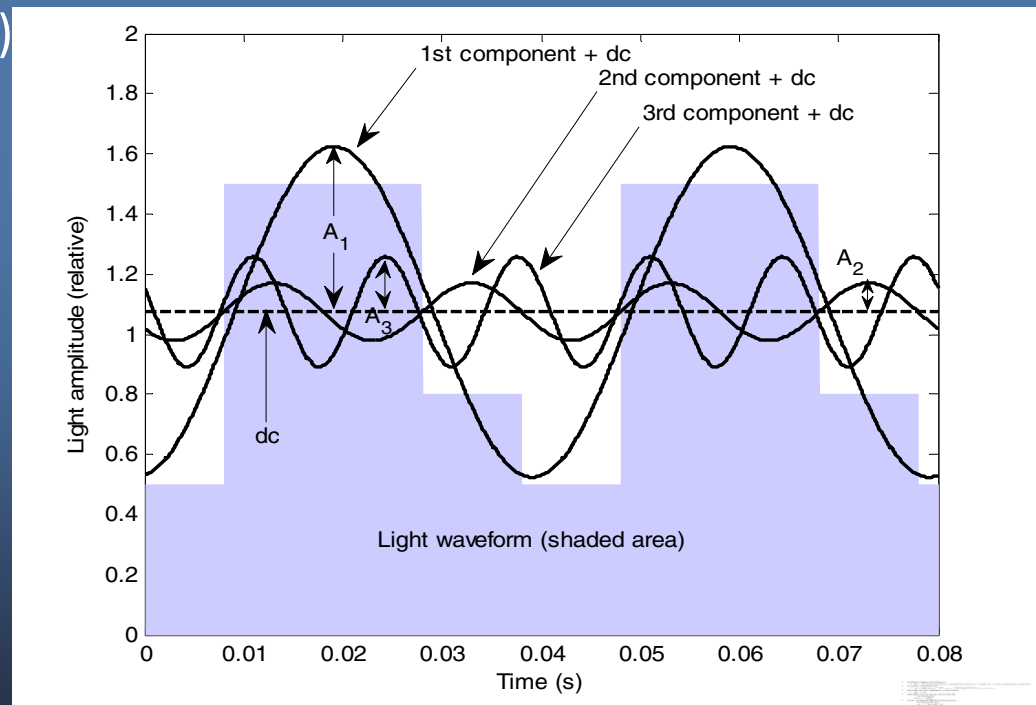
- ◆ Weight by human threshold sensitivity

- › $M_{Pk} = \frac{M_k}{M_{DTHk}}$

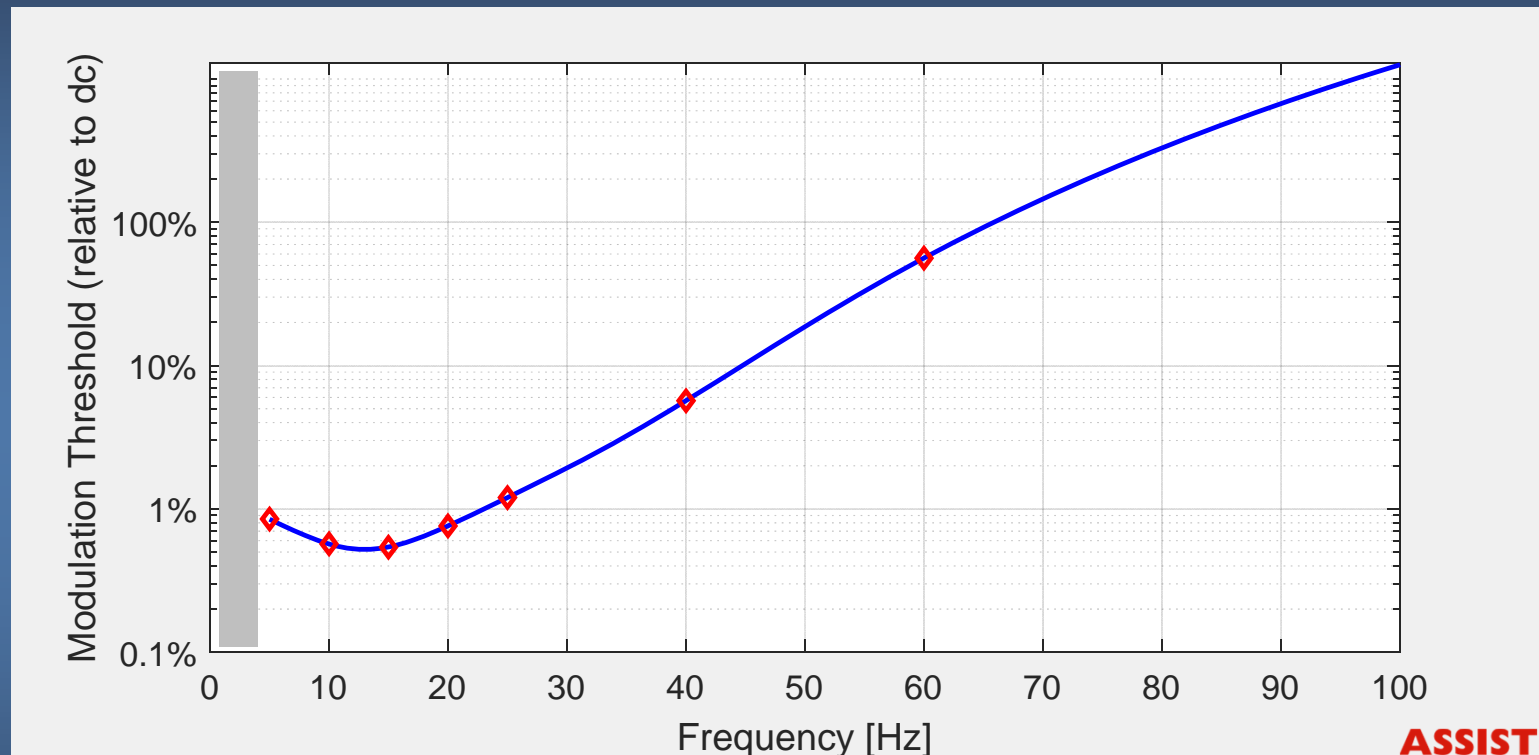
- ◆ Sum independent frequency components

- › $M_P = \sqrt{\sum_k (M_{Pk})^2}$

- › $k = 1, 2, 3, \dots$

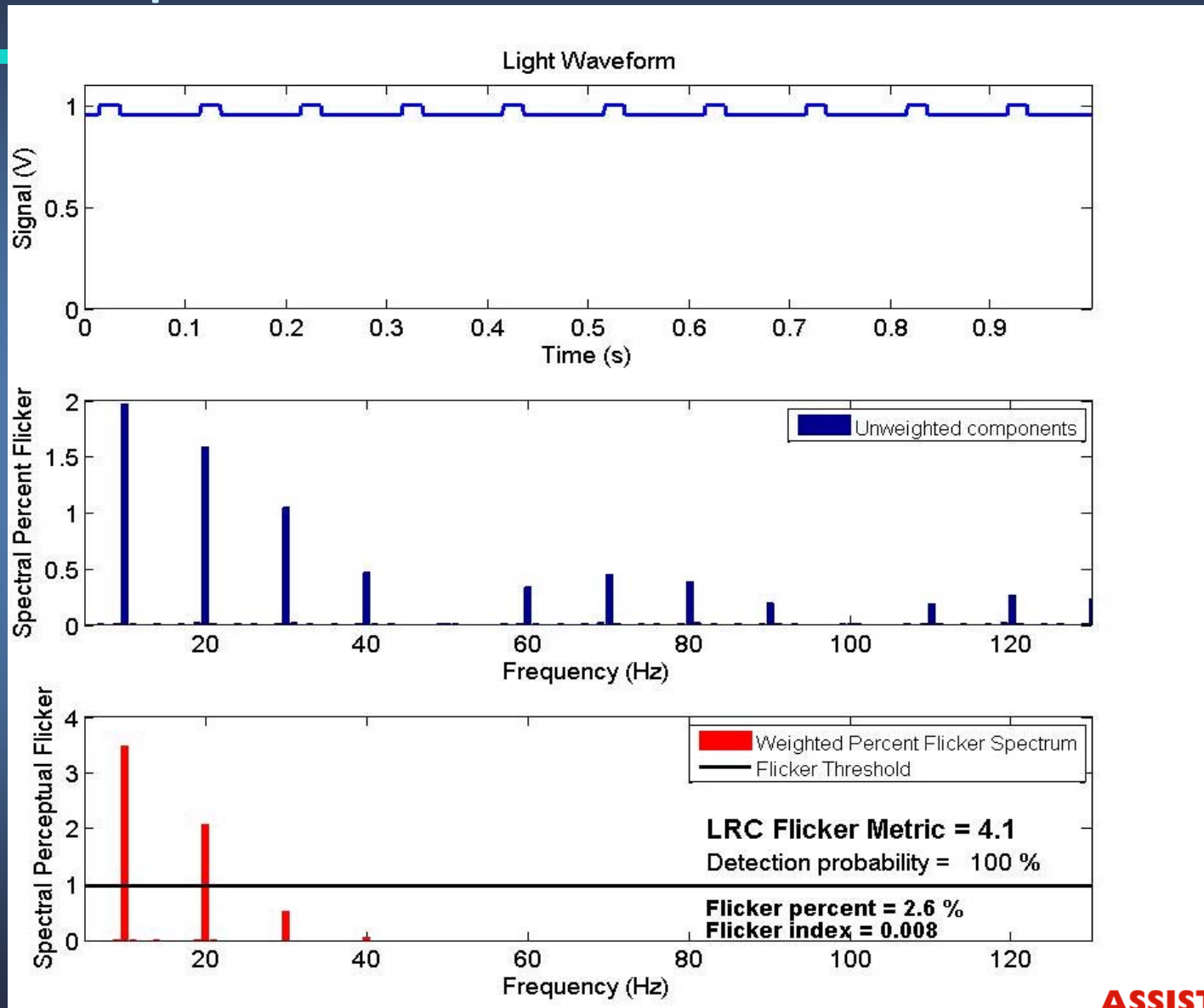


M_p Spectral Weighting Factors

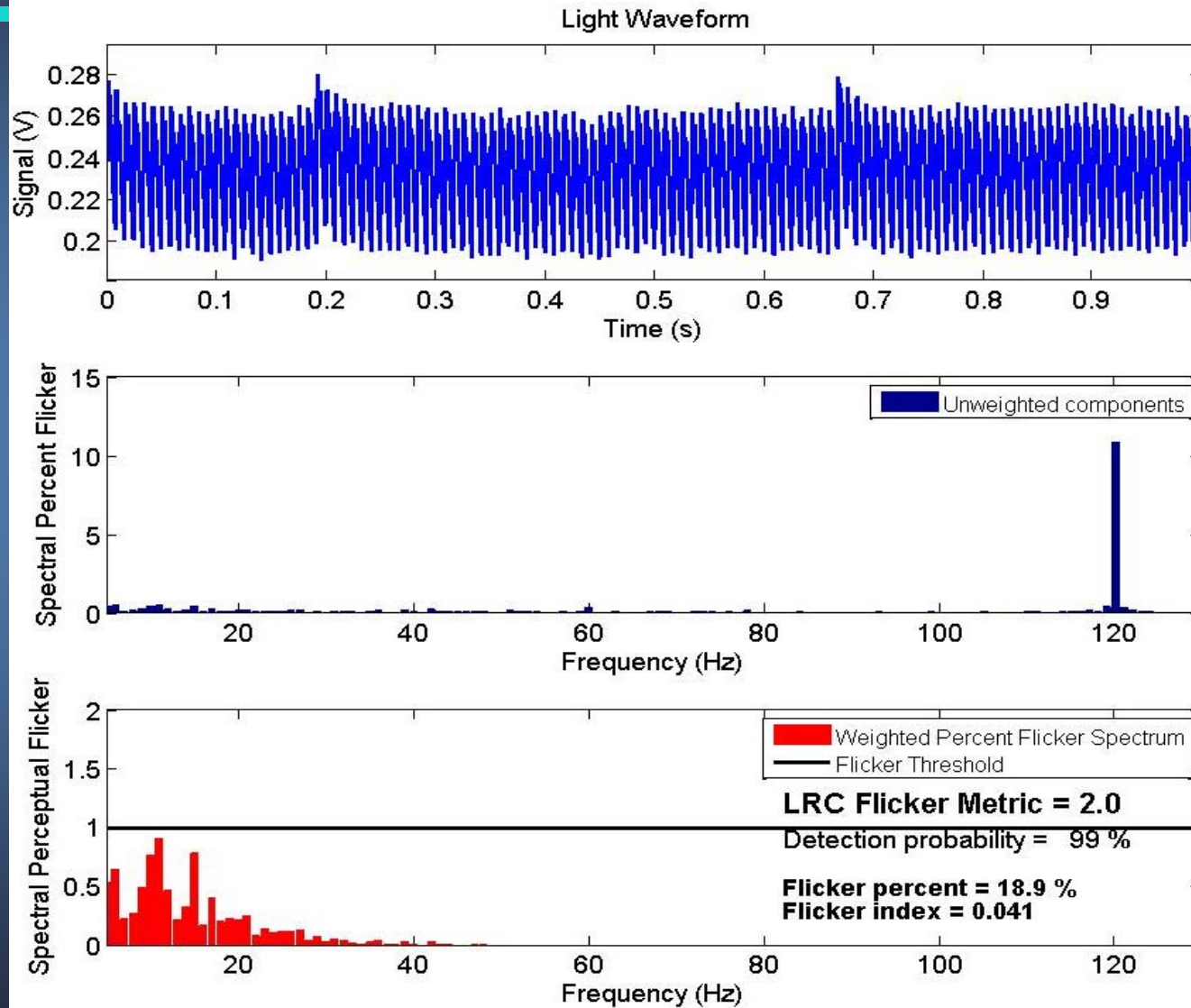


$$M_{DTH} = 1.254 \times 10^{-2} - 7.571 \times 10^{-4}f - 4.007 \times 10^{-5}f^2 + 6.757 \times 10^{-6}f^3 - 2.3306 \times 10^{-7}f^4 + 2.958 \times 10^{-9}f^5$$

M_p Example



M_p Example



M_p Waveform requirements

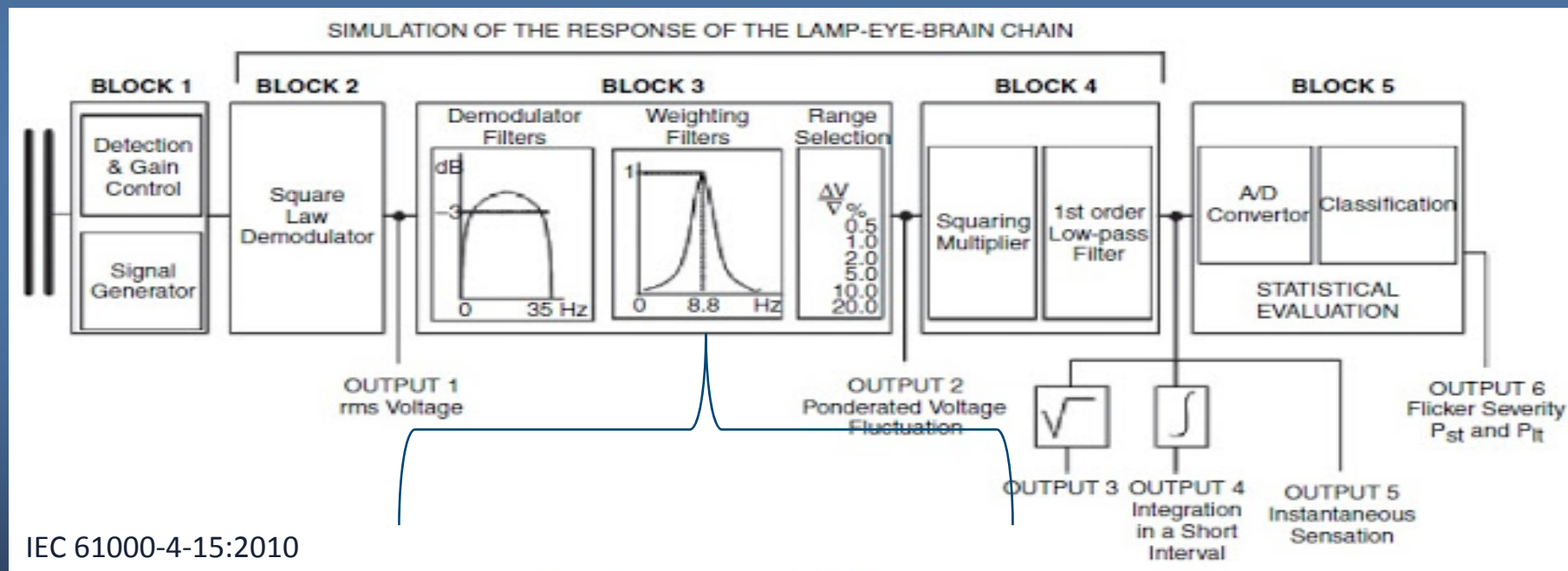
- ◆ Waveform length: 2 seconds
 - › Lowest frequency is 5 Hz → 1/5 seconds x 10 = 2 s
 - › Extra length allows for “window” to minimize finite sampling errors.
- ◆ Waveform amplitude precision better than 0.5% (threshold for flicker perception)
- ◆ Multiple waveforms (10) are captured to catch transient events
 - › Maximum MP for the sample of waveforms is reported
- ◆ Waveforms longer than 2 s reduce sensitivity to transients
 - › Long waveforms can be split-up into multiple 2-second intervals for processing

ASSIST

P_{st} for Direct Flicker

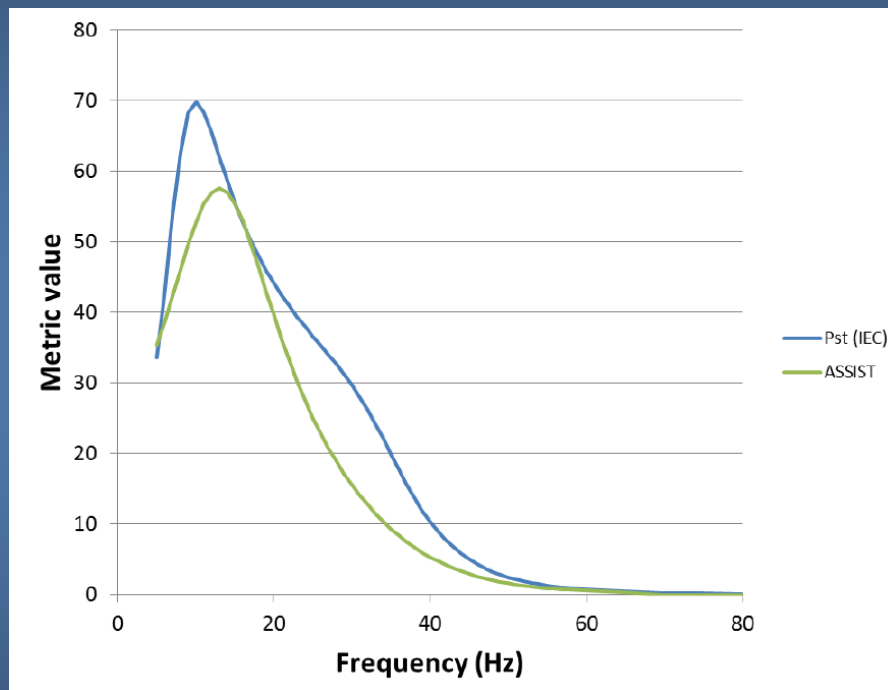
◆ IEC Flicker Meter

- › Flicker from incandescent lamps due to powerline disturbances
- › Omit blocks 1 and 2 when measuring light output directly



$$F(s) = \frac{k\omega_1 s}{s^2 + 2\lambda s + \omega_1^2} \times \frac{1 + s/\omega_2}{(1 + s/\omega_3)(1 + s/\omega_4)}$$

P_{st} (as adopted by NEMA 77 Standard)



Comparison of M_p and P_{st}
Spectral weighting

- ◆ Calculation are done in the time-domain
 - > No Fourier transform
 - > Filtered waveform = $P_{st}(t)$
 - > P_{st} = statistical evaluation of $P_{st}(t)$
- ◆ Need at least **180 seconds** of waveform data
- ◆ First 20 seconds of waveform are removed from final reporting (startup transient)
- ◆ Frequency range:
 - > ~ 0.1 Hz to 80 Hz
 - > Sampling rate, $f_s > \sim 200$ Hz

Stroboscopic Visibility Measure (SVM) (as adopted by NEMA 77 standard)

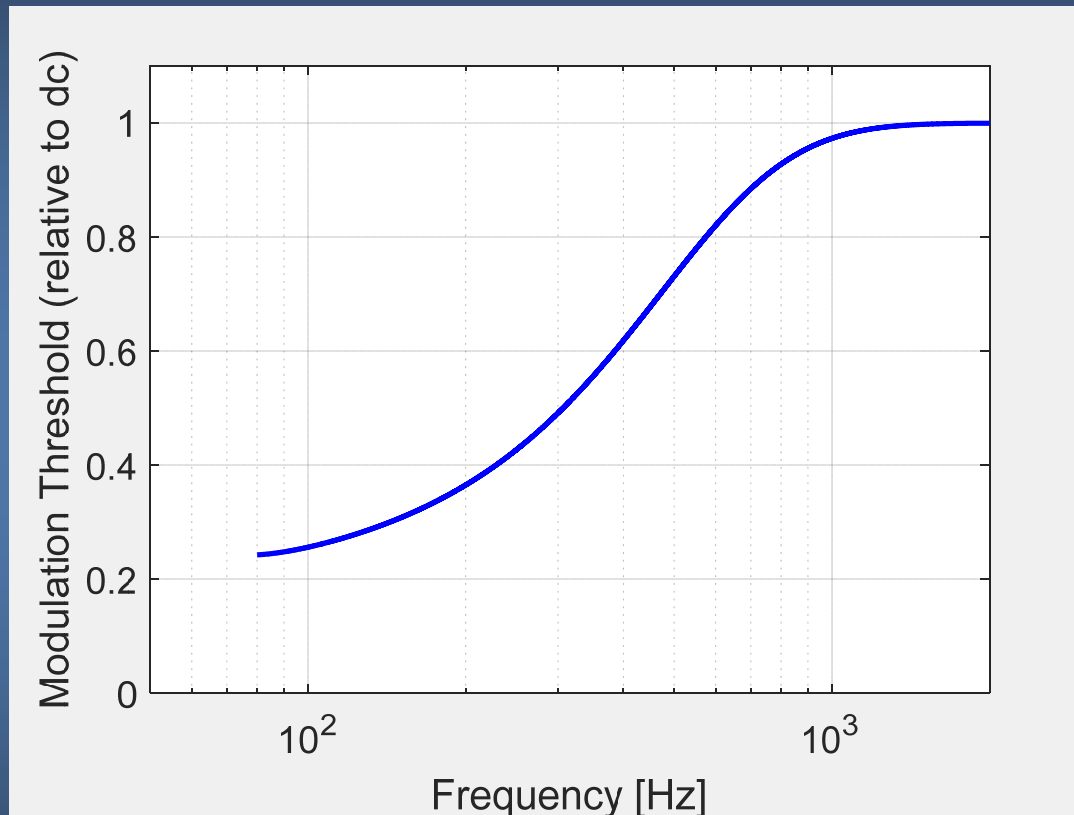
$$SVM = \sqrt[3.7]{\sum_{m=1}^{\infty} \left(\frac{C_m}{T_m}\right)^{3.7}} \begin{cases} < 1 \text{ not visible} \\ = 1 \text{ just visible} \\ > 1 \text{ visible} \end{cases}$$

M Perz et al. Lighting Res. Technol. 2015; Vol. 47: 281–300
L Wang et al. SID Digest 2015; Issue 50.2: 754 – 757

C_m is the m -th Fourier component of the light output waveform, and T_m (Figure 5) is the visibility threshold at the m -th frequency, which weights the Fourier components.

- ◆ Calculated from 80 to 2000 Hz
- ◆ Waveform amplitude precision $> \sim 5\%$
 - > 8-bit Oscilloscopes are adequate
 - > Sampling rate, $f_s > \sim 5000$ Hz

SVM



$$T_m(f) = \left(\frac{1}{1 + e^{-a(f-b)}} + 20e^{-f/10\text{Hz}} \right)$$

Where: f is frequency
 $a = 0.00518 \text{ s}$
 $b = 306.6 \text{ Hz}$

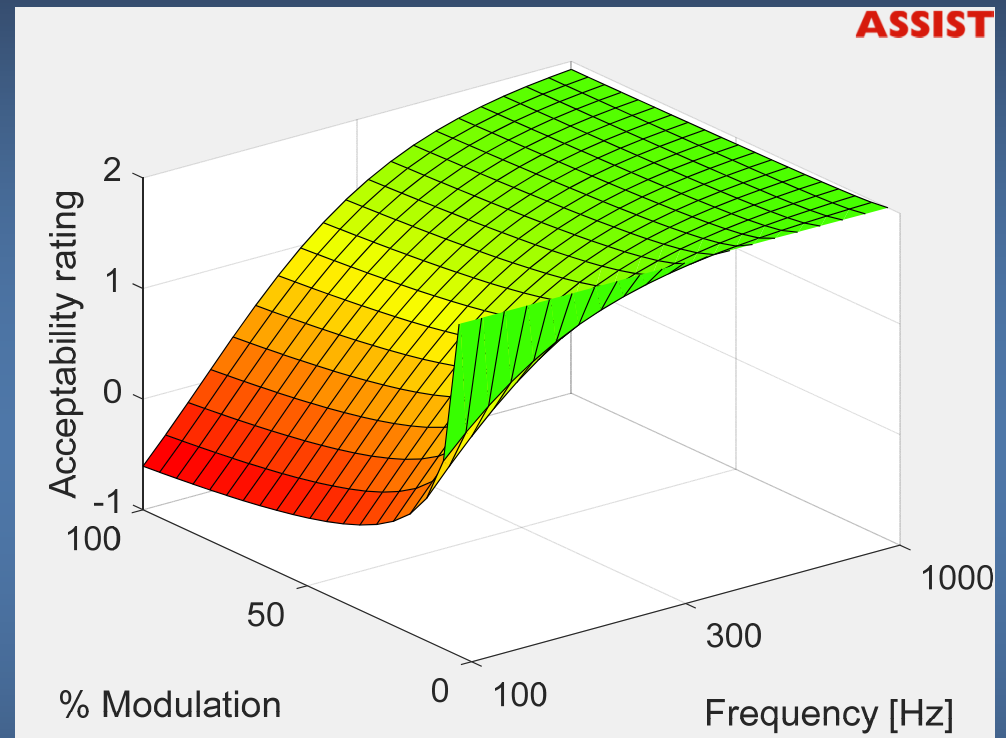
Stroboscopic Acceptability Metric (SAM)

- +2 very acceptable
- +1 somewhat acceptable
- 0 neither acceptable nor unacceptable
- 1 somewhat unacceptable
- 2 very unacceptable

$$a = 2 - \frac{4}{1 + \frac{f}{130 \log_{10}(p_P) - 73}}$$

$$p_P = p \frac{C_P(DUT)}{C_P(\text{squarewave}, f_{DUT}, p_{DUT})}$$

C_P ratio



$$C_P \text{ ratio} = \frac{\sum_n \Phi_{DUT,n} \exp(i2\pi f_{max} n / f_s)}{\sum_n \Phi_{Square,n} \exp(i2\pi f_{max} n / f_s)}$$

Stroboscopic Acceptability Metric (SAM)

$$C_{Pratio} = \frac{\sum_n \Phi_{DUT,n} \exp(i2\pi f_{max}n/f_s)}{\sum_n \Phi_{Square,n} \exp(i2\pi f_{max}n/f_s)}$$

Ratio of single component discrete Fourier Transforms

Wave shape	C _p ratio (DUT/square)		
	% Flicker*: Threshold, 10%, 50%		
Square	1.00		
Sine	0.78		
Rectified sine	0.66,	0.65,	0.59
Ramp	0.64		
Rectangular 20% duty cycle	0.59,	0.63,	0.84
Rectangular 80% duty cycle	0.59,	0.55,	0.45
Sawtooth	0.50		
Rectangular 10% duty cycle	0.31,	0.34,	0.51

Same waveform measurements requirement as SVM

* For non-symmetrical waveforms the ratio dc(DUT)/dc(square) changes with % flicker

Thank you!

◆ Acknowledgments

- › ASSIST program sponsors
- › US Environmental Protection Agency
- › LRC faculty, staff and students

Questions?

<http://www.lrc.rpi.edu/programs/solidstate/assist/recommends/flicker.asp>

