

# ENERGY STAR<sup>®</sup>

## Residential New Construction Programs

### Historical Document

This document is provided for reference because it has been superseded by a more recent Version or Revision. Please find current program documents on the [Program Requirements](#) webpage.

Use of older Versions and Revisions, such as this document, are typically limited to homes and buildings with a permit date (or, for manufactured homes, a production date) prior to a specified date. Consult the [Implementation Timeline](#) table to assess whether a home or apartment is still eligible to be certified using this document.

For questions or more information, contact us at [energystarhome@energystar.gov](mailto:energystarhome@energystar.gov).



# National ERI Target Procedure

## ENERGY STAR Certified Homes, Version 3.1 (Rev. 09)

This document provides instructions for determining the ENERGY STAR ERI Target, the highest ERI value that each rated home may achieve to earn the ENERGY STAR. Note that, in addition to meeting the ENERGY STAR ERI Target, homes shall also meet all Mandatory Requirements for All Certified Homes in Exhibit 2 of the National Program Requirements for ENERGY STAR Certified Homes, Version 3.1.

A Home Energy Rating Software program accredited by an EPA-Approved Verification Oversight Organization shall automatically determine (i.e., without relying on a user-configured ENERGY STAR Reference Design) this target for each rated home. This shall be done by configuring the ENERGY STAR Reference Design Home in accordance with Exhibit 1, the Expanded ENERGY STAR Reference Design Definition, and calculating its associated ERI value. This value, rounded to the nearest whole number, shall equal the ENERGY STAR ERI Target.



# National ERI Target Procedure ENERGY STAR Certified Homes, Version 3.1 (Rev. 09)

## Exhibit 1: Expanded ENERGY STAR Reference Design Definition

Building Component	Expanded ENERGY STAR Reference Design Definition <sup>1</sup>									
Foundations:	Construction Type & Structural Mass: Same as Rated Home, except: <ul style="list-style-type: none"> <li>For masonry floor slabs, modeled with 80% of floor area covered by carpet and 20% of floor directly exposed to room air</li> </ul>									
	Conditioning Type: Same as Rated Home, except: <ul style="list-style-type: none"> <li>Crawlspaces shall be modeled as vented with net free vent aperture = 1sq. ft. per 150 sq. ft. of crawlspace floor area</li> </ul>									
	Gross Area: Same as Rated Home <sup>2</sup>									
	Insulation: <sup>3,4</sup> Choose appropriate insulation level below: <ul style="list-style-type: none"> <li>Basement Wall Assembly U-factor only applies to conditioned bsmt.'s; if applicable, insulation shall be located on interior side of walls</li> <li>Floor assemblies above crawlspace foundations shall be configured to meet the applicable floor assembly U-factor listed in the building component section for Floors Over Unconditioned Spaces</li> <li>Slab floors with a floor surface less than 12" below grade shall be insulated to the Slab Insulation R-value. The insulation shall extend downward from the top of the slab on the outside of the foundation wall and then vertically below-grade to the Slab Insulation Depth</li> </ul>									
	<b>Climate Zone:</b>		<b>CZ 1</b>	<b>CZ 2</b>	<b>CZ 3</b>	<b>CZ 4</b>	<b>CZ 4 C &amp; 5</b>	<b>CZ 6</b>	<b>CZ 7</b>	<b>CZ 8</b>
<b>Slab Insulation R-Value:</b>		0	0	0	10	10	10	10	10	
<b>Slab Insulation Depth (ft):</b>		0	0	0	2	2	4	4	4	
<b>Basement Wall Assembly U-Factor:</b>		0.360	0.360	0.091	0.059	0.050	0.050	0.050	0.050	
Floors Over Unconditioned Spaces:	Construction Type: Wood frame									
	Gross Area: Same as Rated Home									
	Insulation: <sup>3,4</sup>									
	<b>Climate Zone:</b>		<b>CZ 1</b>	<b>CZ 2</b>	<b>CZ 3</b>	<b>CZ 4</b>	<b>CZ 4 C &amp; 5</b>	<b>CZ 6</b>	<b>CZ 7</b>	<b>CZ 8</b>
<b>Floor Assembly U-Factor:</b>		0.064	0.064	0.047	0.047	0.033	0.033	0.028	0.028	
Above-Grade Walls:	Interior and Exterior Construction Type: Wood frame									
	Gross Area: Same as Rated Home									
	Solar Absorptance = 0.75									
	Emittance = 0.90									
	Insulation: <sup>3</sup>									
<b>Climate Zone:</b>		<b>CZ 1</b>	<b>CZ 2</b>	<b>CZ 3</b>	<b>CZ 4</b>	<b>CZ 4 C &amp; 5</b>	<b>CZ 6</b>	<b>CZ 7</b>	<b>CZ 8</b>	
<b>Wall Assembly U-Factor:</b>		0.082	0.082	0.057	0.057	0.057	0.048	0.048	0.048	
Thermally Isolated Sunrooms:	None									
Doors:	Area: Same as Rated Home									
	Orientation: Same as Rated Home									
	U-Values and SHGCs, based on ENERGY STAR doors: <sup>5</sup>									
	<b>Door Type:</b>		<b>Opaque</b>		<b>≤ 1/2-Lite</b>		<b>&gt; 1/2-Lite CZ 1-3</b>		<b>&gt; 1/2-Lite CZ 4-8</b>	
	<b>U-Value:</b>		0.17		0.25		0.30		0.30	
<b>SHGC:</b>		N/A		0.25		0.25		0.40		
Glazing:	Total Area: (except in homes with conditioned basements and attached homes <sup>6</sup> ) <ul style="list-style-type: none"> <li>Same as Rated Home, where Rated Home glazing area is less than 15% of conditioned floor area; <u>OR</u></li> <li>15% of the conditioned floor area, where the Rated Home glazing area is 15% or more of the conditioned floor area</li> </ul>									
	Orientation: Equally distributed to North, East, South, and West									
	Interior Shade Coefficient: Same as Energy Rating Reference Home, as defined by ANSI / RESNET / ICC Std. 301 <sup>7</sup>									
	External Shading: None									
	U-Values and SHGCs, based on ENERGY STAR Windows: <sup>5</sup>									
	<b>Climate Zone:</b>		<b>CZ 1</b>	<b>CZ 2</b>	<b>CZ 3</b>	<b>CZ 4</b>	<b>CZ 4 C &amp; 5</b>	<b>CZ 6</b>	<b>CZ 7</b>	<b>CZ 8</b>
	<b>U-Value:</b>		0.40	0.40	0.30	0.30	0.27	0.27	0.27	0.27
<b>SHGC:</b>		0.25	0.25	0.25	0.40	0.40	0.40	0.40	0.40	
Skylights:	None									
Ceilings:	Construction Type: Wood frame									
	Gross Area: Same as Rated Home									
	Insulation: <sup>3</sup>									
	<b>Climate Zone:</b>		<b>CZ 1</b>	<b>CZ 2</b>	<b>CZ 3</b>	<b>CZ 4</b>	<b>CZ 4 C &amp; 5</b>	<b>CZ 6</b>	<b>CZ 7</b>	<b>CZ 8</b>
<b>Ceiling Assembly U-Factor:</b>		0.035	0.030	0.030	0.026	0.026	0.026	0.026	0.026	
Attics:	Construction Type: Vented with aperture = 1sq. ft. per 300 sq. ft. ceiling area									
	Radiant Barrier: None									
Roofs:	Construction Type: Composition shingle on wood sheathing									
	Gross Area: Same as Rated Home									
	Solar Absorptance = 0.92									
	Emittance = 0.90									



# National ERI Target Procedure ENERGY STAR Certified Homes, Version 3.1 (Rev. 09)

## Exhibit 1: Expanded ENERGY STAR Reference Design Definition (Continued)

Heating Systems:	Heating capacity shall be selected in accordance with ACCA Manual S based on building heating and cooling loads calculated in accordance with ACCA Manual J, Eighth Edition, ASHRAE Handbook of Fundamentals, or an equivalent computation procedure.																																																																																	
	Fuel Type: Same as Rated Home <sup>8</sup>																																																																																	
	System Type: Same as Rated Home, except Reference Design shall be configured with air-source heat pump in CZ 1-6 where Rated Home is modeled with ground-source heat pump, electric strip or baseboard heat, and Reference Design shall be configured with ground-source heat pump in CZ 7 & 8 where Rated Home is modeled with air-source or ground-source heat pump, electric strip or baseboard heat; applicable efficiency selected from below <sup>9</sup>																																																																																	
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For non-electric warm furnaces and non-electric boilers, the Electric Auxiliary Energy shall be determined in accordance with the methodology for the Energy Rating Reference Home in ANSI / RESNET / ICC Std. 301, using the capacity determined in this Section. <sup>7</sup>																																																																																		
Cooling Systems:	Cooling capacity shall be selected in accordance with ACCA Manual S based on building heating and cooling loads calculated in accordance with ACCA Manual J, Eighth Edition, ASHRAE Handbook of Fundamentals, or an equivalent computation procedure.																																																																																	
	Fuel Type: Same as Rated Home <sup>8</sup>																																																																																	
	System Type: Same as Rated Home, except Reference Design shall be configured with air-source heat pump in CZ 1-6 where Rated Home is modeled with ground-source heat pump and Reference Design shall be configured with ground-source heat pump in CZ 7 & 8 where Rated Home is modeled with air-source or ground-source heat pump; applicable efficiency selected from below. <sup>10</sup>																																																																																	
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Use (Gallons per Day): Same as Energy Rating Reference Home, as defined by ANSI / RESNET / ICC Std. 301, except for reduced usage resulting from the dishwasher specified in the Lighting, Appliances, & Internal Gains Section. <sup>7, 11</sup>																																																																																		
Service Water Heating Systems:	Tank Temperature: Same as Energy Rating Reference Home, as defined by ANSI / RESNET / ICC Std. 301. <sup>7</sup>																																																																																	
	Fuel Type: Same as Rated Home <sup>8</sup>																																																																																	
	System Type: Conventional storage water heater with tank size equal to that of Rated Home, unless Rated Home uses instantaneous water heater in which case select 50 gallon tank for gas systems and 60 gallon tank for electric systems. Select applicable efficiency from below using tank size of Reference Home.																																																																																	
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Duct Leakage to Outside: 0 CFM25 per 100 sq. ft. of conditioned floor area																																																																																		
Duct Insulation: None, because 100% of ducts are in conditioned space																																																																																		
Duct Surface Area: Same as Rated Home																																																																																		
Supply and Return Duct Locations shall be configured according to the table below or, if Rated home does not meet any of the conditions below (e.g. multifamily dwelling unit with conditioned unit below), then duct locations shall be configured to be 100% in conditioned space.																																																																																		
<table border="1"> <thead> <tr> <th>Foundation Type:</th> <th>Slab</th> <th>Crawlspace</th> <th>Basement</th> </tr> </thead> <tbody> <tr> <td>One Story Above Grade:</td> <td>100% Conditioned</td> <td>100% Conditioned</td> <td>100% Conditioned</td> </tr> <tr> <td>Two Story Above Grade:</td> <td>100% Conditioned</td> <td>100% Conditioned</td> <td>100% Conditioned</td> </tr> </tbody> </table>										Foundation Type:	Slab	Crawlspace	Basement	One Story Above Grade:	100% Conditioned	100% Conditioned	100% Conditioned	Two Story Above Grade:	100% Conditioned	100% Conditioned	100% Conditioned																																																													
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Two Story Above Grade:	100% Conditioned	100% Conditioned	100% Conditioned																																																																															
Thermostat:	Type: Programmable																																																																																	
	Temperature Setpoints: Same as Energy Rating Reference Home, but with offsets for a programmable thermostat, as defined by ANSI / RESNET / ICC Std. 301. <sup>7</sup>																																																																																	
Infiltration & Mechanical Ventilation:	Infiltration Rates: Climate Zone: CZ 1 CZ 2 CZ 3 CZ 4 CZ 4 C & 5 CZ 6 CZ 7 CZ 8 ACH50: 4 4 3 3 3 3 3 3																																																																																	
	Mechanical ventilation system without heat recovery																																																																																	
	Rate: CFM = 0.01 * CFA + 7.5 * (Nbr + 1), where CFA = Conditioned Floor Area and Nbr = Number of Bedrooms; Runtime: 24 Hours / Day																																																																																	
	Fan Watts: Watts = CFM Rate / 2.8 CFM per Watt, where CFM Rate is determined above																																																																																	
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Ventilation Type:	Supply	Supply	Supply	Supply	Exhaust	Exhaust	Exhaust	Exhaust																																																																										
Lighting, Appliances, & Internal Gains:	Lighting: Fraction of qualifying Tier I fixtures to all fixtures in qualifying light fixture locations 90% for interior; 0% for exterior and garage																																																																																	
	Refrigerator: 423 kWh per year																																																																																	
	Dishwasher: 0.66 EF, Place Setting Capacity Same as Rated Home																																																																																	
	Ceiling Fan: 122 CFM per Watt; Quantity = Number of bedrooms + 1 when ceiling fans present in the Rated Home; otherwise Quantity = 0																																																																																	
	Clothes Washer and Dryer: Same as Energy Rating Reference Home, as defined by ANSI / RESNET / ICC Std. 301. <sup>7</sup>																																																																																	
Internal Gains: Same as Energy Rating Reference Home, as defined by ANSI / RESNET / ICC Std. 301, except for adjustments for the lighting, refrigerator, dishwasher, and ceiling fans specified in this Section. <sup>7</sup>																																																																																		
Internal Mass:	Same as Energy Rating Reference Home, as defined by ANSI / RESNET / ICC Std. 301. <sup>7</sup>																																																																																	
	Additional mass specifically designed as a Thermal Storage Element for the Rated Home shall be excluded.																																																																																	



# National ERI Target Procedure

## ENERGY STAR Certified Homes, Version 3.1 (Rev. 09)

### Footnotes:

1. Any parameter not specified in this exhibit shall be identical to the value entered for the Rated Home.
2. "Same as Rated Home" indicates that the parameter shall be identical to the value entered for the Rated Home.
3. Slab insulation R-values represent nominal insulation levels; and assembly U-factors for foundations, floors, walls, and ceilings represent the overall assembly, inclusive of sheathing materials, cavity insulation, installation quality, framing, and interior finishes.
4. If software allows the user to specify the thermal boundary location independent of the conditioned space boundary in the basement of the rated home, then the thermal boundary of the ENERGY STAR Reference Design shall be aligned with this boundary. For example, if the thermal boundary is located at the walls, then the wall insulation shall be configured as if it was a conditioned basement. If the thermal boundary is located at the floor above the basement, then the floor insulation shall be configured as if it was a floor over an unconditioned space.
5. All Reference Design window and door U-value and SHGC requirements are based on the ENERGY STAR Program Requirements for Residential Windows, Doors, and Skylights – Version 6.0 as outlined at [www.energystar.gov/windows](http://www.energystar.gov/windows), except that SHGC values have been assumed for CZ 4C & 5-8. Note that the U-factor requirement applies to all fenestration while the SHGC only applies to the glazed portion.
6. When determining the ENERGY STAR ERI Target for homes with conditioned basements and for attached homes, the following formula shall be used to determine total window area of the ENERGY STAR Reference Design:

$$AG = 0.15 \times CFA \times FA \times F$$

Where:

- AG = Total glazing area
- CFA = Total conditioned floor area
- FA = (Gross above-grade thermal boundary wall area) / (Gross above-grade thermal boundary wall area + 0.5 x Gross below-grade thermal boundary wall area)
- F =  $1 - 0.44 \times (\text{Gross common wall area}) / (\text{Gross above-grade thermal boundary wall area} + \text{Gross common wall area})$

And where:

- Thermal boundary wall is any wall that separates Conditioned Space from Unconditioned Space, outdoor environment, or the surrounding soil;
  - Above-grade thermal boundary wall is any portion of a thermal boundary wall not in contact with soil;
  - Below-grade thermal boundary wall is any portion of a thermal boundary wall in soil contact; and
  - Common wall is the total wall area of walls adjacent to another conditioned living unit, not including foundation walls.
7. The version of ANSI / RESNET / ICC Std. 301 utilized by RESNET for HERS ratings shall be used to configure this parameter.
  8. Fuel type(s) shall be same as Rated Home, including any dual-fuel equipment where applicable. For a Rated Home with multiple heating, cooling, or water heating systems using different fuel types, the applicable system capacities and fuel types shall be weighted in accordance with the loads distribution (as calculated by accepted engineering practice for that equipment and fuel type) of the multiple systems.
  9. For a Rated Home without a heating system, the ENERGY STAR Reference Design Home shall be configured with a 78% AFUE gas furnace system, unless the Rated home has no access to natural gas or fossil fuel delivery. In such cases, the ENERGY STAR Reference Design Home shall be configured with a 7.7 HSPF air-source heat pump.
  10. For a Rated Home without a cooling system, the ENERGY STAR Reference Design Home shall be configured with a 13 SEER electric air conditioner.
  11. That is to say, representative of standard-flow plumbing fixtures, reference clothes washer gallons per day, standard distribution system water use effectiveness, a hot water piping ratio of 1.0, no pipe insulation, and no drainwater heater recovery.
  12. To determine domestic hot water (DHW) EF requirements for additional tank sizes, use the following equations: Gas DHW EF  $\geq 0.69 - (0.002 \times \text{Tank Gallon Capacity})$ ; Electric DHW EF  $\geq 0.97 - (0.001 \times \text{Tank Gallon Capacity})$ ; Oil DHW EF  $\geq 0.61 - (0.002 \times \text{Tank Gallon Capacity})$ .